

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/829,412	04/21/2004	Timm J. Fenton	A36133 - 072841.0230	5967		
21003 7:	590 12/11/2006		EXAMINER			
BAKER & BOTTS L.L.P.			WEAVER, SUE A			
30 ROCKEFEI 44TH FLOOR	LLER PLAZA		ART UNIT	PAPER NUMBER		
	NY 10112-4498	3781				
			DATE MAILED: 12/11/200	DATE MAILED: 12/11/2006		

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application N	lo.	Applicant(s)				
Office Action Summary		10/829,412		FENTON ET AL.				
		Examiner		Art Unit	<del></del>			
		Sue A. Weave	ır	3781				
	The MAILING DATE of this communicat			orrespondence addr	ess			
Period for	Reply							
WHICH - Extens after S - If NO p - Failure Any re	PRTENED STATUTORY PERIOD FOR HEVER IS LONGER, FROM THE MAIL ions of time may be available under the provisions of 37 kX (6) MONTHS from the mailing date of this communic seriod for reply is specified above, the maximum statutor to reply within the set or extended period for reply will, ply received by the Office later than three months after the patent term adjustment. See 37 CFR 1.704(b).	ING DATE OF THIS ( 7 CFR 1.136(a). In no event, h ation. ry period will apply and will exp by statute, cause the application	COMMUNICATION owever, may a reply be tirr ire SIX (6) MONTHS from to become ABANDONE	N. hely filed the mailing date of this comi D (35 U.S.C. § 133).				
Status		•						
1) 🗌 F	Responsive to communication(s) filed o	n	•					
•==	•	$\boxtimes$ This action is non-	final.					
3)□ \$								
(	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.							
Dispositio	on of Claims							
4) 🛛 (	Claim(s) <u>1-36</u> is/are pending in the appl	ication.						
4	4a) Of the above claim(s) is/are withdrawn from consideration.							
5) 🗌 (	5) Claim(s) is/are allowed.							
6)⊠ (	)⊠ Claim(s) <u>1-36</u> is/are rejected.							
· · · —								
8)∐ (	Claim(s) are subject to restriction	n and/or election requ	irement.					
Application	on Papers							
, —	he specification is objected to by the E							
10)⊠ The drawing(s) filed on <u>21 April 2004</u> is/are: a)□ accepted or b)⊠ objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).							
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.								
Priority u	nder 35 U.S.C. § 119							
a)[	Acknowledgment is made of a claim for All b) Some * c) None of:	•		)-(d) or (f).				
	<ul> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> </ul>							
	3. Copies of the certified copies of t	he priority documents	have been receive		tage			
application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.								
Attachment(	s) of References Cited (PTO-892)	. 47	☐ Interview Summary	(PTO-413)				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)								
	ation Disclosure Statement(s) (PTO/SB/08) No(s)/Mail Date <u>11/8/04</u> .	•	Notice of Informal F	atent Application				
S Patent and Tra								

Art Unit: 3781

- 1. The information disclosure statement filed 11/8/04 fails to comply with 37 CFR 1.98(a)(2), which requires a legible copy of each cited foreign patent document; each non-patent literature publication or that portion which caused it to be listed; and all other information or that portion which caused it to be listed. It has been placed in the application file, but the foreign information referred to therein has not been considered.
- 2. The disclosure is objected to because of the following informalities: It is requested that applicant's replace the referenced application serial number with the proper publication number.

Appropriate correction is required.

3. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they do not include the following reference sign(s) mentioned in the description: the features "142" does not appear to have been identified. Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

Art Unit: 3781

- 4. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they include the following reference character(s) not mentioned in the description: The features 22, 94, 112 and 114 do not appear to have been described. Corrected drawing sheets in compliance with 37 CFR 1.121(d), or amendment to the specification to add the reference character(s) in the description in compliance with 37 CFR 1.121(b) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. Each drawing sheet submitted after the filling date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.
- 5. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the axis of elongation and the dividing line claimed in claims 14, 25 and 36, must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure

Art Unit: 3781

is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

Page 4

#### INFORMATION ON HOW TO EFFECT DRAWING CHANGES

## **Replacement Drawing Sheets**

Drawing changes must be made by presenting replacement sheets which incorporate the desired changes and which comply with 37 CFR 1.84. An explanation of the changes made must be presented either in the drawing amendments section, or remarks, section of the amendment paper. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). A replacement sheet must include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of the amended drawing(s) must not be labeled as "amended." If the changes to the drawing figure(s) are not accepted by the examiner, applicant will be notified of any required corrective action in the next Office action. No further drawing submission will be required, unless applicant is notified.

Identifying indicia, if provided, should include the title of the invention, inventor's name, and application number, or docket number (if any) if an application number has not

Application/Control Number: 10/829,412

Art Unit: 3781

been assigned to the application. If this information is provided, it must be placed on the front of each sheet and within the top margin.

Page 5

### **Annotated Drawing Sheets**

A marked-up copy of any amended drawing figure, including annotations indicating the changes made, may be submitted or required by the examiner. The annotated drawing sheet(s) must be clearly labeled as "Annotated Sheet" and must be presented in the amendment or remarks section that explains the change(s) to the drawings.

### Timing of Corrections.

Applicant is required to submit acceptable corrected drawings within the time period set in the Office action. See 37 CFR 1.85(a). Failure to take corrective action within the set period will result in ABANDONMENT of the application.

If corrected drawings are required in a Notice of Allowability (PTOL-37), the new drawings MUST be filed within the THREE MONTH shortened statutory period set for reply in the "Notice of Allowability." Extensions of time may NOT be obtained under the provisions of 37 CFR 1.136 for filing the corrected drawings after the mailing of a Notice of Allowability.

- 6. The following is a quotation of the second paragraph of 35 U.S.C. 112:

  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 7. Claims 1-36 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 8. Claims 4, 7 18 and 29 each recites the limitation "the joint locking member" in lines 2. There is insufficient antecedent basis for this limitation in the claim.
- 9. Claims 14 and 25 each recites the limitation "the handgrip base portion" and "the axially adjacent portion" in line 5. There is insufficient antecedent basis for this limitation in the claim.

Furthermore it is noted that the claims are lacking in structure or orientation to effect the many "adapted to" and "capable of" functions being claimed. The claims are merely a catalog of parts lacking sufficient structure as noted for example with "a joint locking member capable of pivotally connecting the handle to the bulbar member as claimed in claims 1, 15 and 26. There is no structural orientation for the joint locking member. Furthermore merely claiming "a joint lock member" doesn't define any particular construction which would prevent pivoting.

Moreover applicants have not established any communication between a passageway in the handle and the tubular member for there to be any type of communication between them.

There are merely examples of lack of structure for the adapted to and capable of deficiencies in the independent claims. The dependent claims also suffer such deficiencies.

10. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 11. Claims 1, 2, 8, 12-16, 18, 19, 22-27 and 31-36 are rejected under 35 U.S.C. 102(e) as being anticipated by Tsai '823.

Member 96 is considered to define a joint locking member to the extent that it prevents the handle and tubular member for slipping laterally. Member 84 defines a first member external to the joint lock member and having a first protrusion 88, as claimed. The remaining features are self evident.

Page 7

12. Claims 1-4, 8, 12, 13, 15, 16, 18, 19, 22-24, 26-29 and 31-35 are rejected under 35 U.S.C. 102(e) as being anticipated by Lu '459.

As with Tsai member 12 of Lu is considered to define a joint lock member while member 32 defines a first member with a pair of protrusions at 321. The remaining features are self evident.

- 13. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 14. Claims 5-7, 9-11,17, 20, 21 and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over the references as applied to claims 2, 8, 16, 19 and 27 above, and further in view of Chen '423.

To have provided retractable tubular member with a second member with a plurality of aligned protrusions to unlock the additional section would have been obvious in view of such teaching by Chen at 131.

15. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The patents show other handle assemblies.

Application/Control Number: 10/829,412

Page 8

Art Unit: 3781

16. The following are suggested formats for either a Certificate of Mailing or Certificate of Transmission under 37 CFR 1.8(a). The certification may be included with all correspondence concerning this application or proceeding to establish a date of mailing or transmission under 37 CFR 1.8(a). Proper use of this procedure will result in such communication being considered as timely if the established date is within the required period for reply. The Certificate should be signed by the individual actually depositing or transmitting the correspondence or by an individual who, upon information and belief, expects the correspondence to be mailed or transmitted in the normal course of business by another no later than the date indicated.

## **Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 (Date) Typed or printed name of person signing this certificate: Registration Number: **Certificate of Transmission** I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. ( )\_\_\_\_\_ - \_\_\_\_ on \_\_\_\_\_. (Date) Typed or printed name of person signing this certificate: Registration Number:

Please refer to 37 CFR 1.6(d) and 1.8(a)(2) for filing limitations concerning facsimile transmissions and mailing, respectively.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sue A. Weaver whose telephone number is (571) 272-4548. The examiner can normally be reached on Tuesday-Friday (5:30-4).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor is Anthony Stashick\_. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

SUE A WEAVER FIIMARY EXAMINER GROUP 3200

SW